



1711 / IFW

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

SEAN MARK DALZIEL ET. AL.

CASE NO.: CL2178USPCT

APPLICATION NO.: 10/521369

CONFIRMATION NO.: UNKNOWN

GROUP ART UNIT: UNKNOWN

EXAMINER: UNKNOWN

FILED: August 14, 2003

FOR: PROCESS FOR COATING A PHARMACEUTICAL PARTICLE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with 37 C.F.R. §§1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office the information listed on the enclosed PTO/SB/08A and/or PTO/SB/08B forms. A copy of the information, if required, is also enclosed. Consideration of the information is requested under 37 C.F.R. § 1.56 and this information is submitted in accord with the provisions of §1.97(b): within three months of filing the national application under 37 C.F.R. §1.53(d), or entry into the national stage under 37 C.F.R. §1.491, or before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action on the merits after filing a Request for Continued Examination under 37 C.F.R. §1.114.

If this Information Disclosure Statement is filed under §1.97(b) but the mailing date hereof is after the mailing of a first Office Action on the merits, the PTO is authorized to charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. **04-1928** (E. I. du Pont de Nemours and Company) in order to

complete the requirements for consideration of this Information Disclosure Statement.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Gail Dalickas", written in a cursive style.

GAIL A. DALICKAS

Attorney for Applicants

Registration No.: 40,979

Telephone: (302) 992-4947

Facsimile: (302) 992-5374

Dated: 8/19/05



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on 8/19/05
Date

Kristin L. Borso
Signature

KRISTIN L. BORSO

Typed or printed name of person signing Certificate

Registration Number, if applicable

(302) 992-5462
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

10/521369

CL2178USPCT

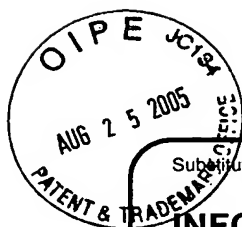
INFORMATION DISCLOSURE STATEMENT

PTO/SB/08A (1)

PTO/SB/08B (1)

COPIES OF REFERENCES (5)

POSTCARD



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/521369
Filing Date	August 14, 2003
First Named Inventor	SEAN MARK DALZIEL
Group Art Unit	UNKNOWN
Examiner Name	UNKNOWN
Attorney Docket Number	CL2178USPCT

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code ² (if known)				
	1	US -	10/174,687	06-19-2002	SCHURR ET. AL.	
	2	US -	3,241,520 A	03-22-1966	WURSTER ET. AL.	
	3	US -	6,312,521 A	11-06-2001	LEE ET. AL	
	4	US -	5,800,923 A	09-01-1998	AMEY ET. AL.	
	5	US -	4,335,676 A	06-22-1982	DEBAYEUX ET. AL.	
		US -				
		US -				
		US -				
		US -				
		US -				
		US -				
		US -				
		US -				
		US -				
		US -				
		US -				
		US -				
		US -				
		US -				
		US -				
		US -				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		CountryCode ³	Number ⁴ Kind Code ⁵ (if known)				
	1		WO 97/07879	03-06-1997	E.I. DU PONT		<input type="checkbox"/>
	2		WO 97/07676	03-06-1997	E.I. DU PONT		<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/521369
Filing Date	August 14, 2003
First Named Inventor	SEAN MARK DALZIEL ET. AL.
Group Art Unit	UNKNOWN
Examiner Name	UNKNOWN
Attorney Docket Number	CL2178USPCT

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	GIBBS ET. AL., ENCAPSULATION IN THE FOOD INDUSTRY: A REVIEW, INT. J. FOOD SCI. NUTR., 1999, PAGES 213-224, VOL. 50	<input type="checkbox"/>
	2	A.M. MEHTA, PROCESSING AND EQUIPMENT CONSIDERATIONS FOR AQUEOUS COATINGS, CHAPTER 8, AQUEOUS PHARMACEUTICAL COATINGS FOR PHARMACEUTICAL DOSAGE FORMS, EDITED BY JAMES W. MCGINTY, 1997, PAGES 287-326	<input type="checkbox"/>
	3	PCT INTERNATIONAL SEARCH REPORT DATED DECEMBER 15, 2003, INTERNATIONAL APPLN. NO. PCT/US03/25883, INTERNATIONAL FILING DATE AUGUST 14, 2003, PAGES (5)	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.